



November 27, 2023

VIA ELECTRONIC FILING

The Honorable Lisa M. Gomez
Assistant Secretary
Employee Benefits Security Administration
U.S. Department of Labor
Washington, D.C. 20510

RE: Request to Testify at Public Hearing
EBSA-2023-0014-0003: "Hearings, Meetings, Proceedings etc.: Retirement Security
Rule: Definition of an Investment Advice Fiduciary and Associated Prohibited
Transaction Exemption Amendments"
RIN 1210-AC02; ZRINs 1210-ZA32, 1210-ZA33, 1210-ZA34

Dear Assistant Secretary Gomez:

On behalf of the National Association of Insurance and Financial Advisors (NAIFA) for which I serve as President, I would like to request an opportunity to testify at the Department's hearing on the proposed Retirement Security Rule and proposed amendments to the Prohibited Transaction Exemptions listed above. The Department has scheduled two days for the public hearing, with a possible third day if necessary. I would request to be scheduled for the second day, December 13, if possible.

The information requested in the announcement of the hearing (EBSA-2023-0014-0003) follows:

- (1) Name: Bryon A. Holz, CLU, ChFC®, LUTCF, CASL, LACP
Title: President
Organization: Bryon Holz & Associates
Address: 207 Cindy Lane, Brandon, FL 33510
Email: bryon@bryonholz.com
Phone: 813-684-1163
- (2) Organization whose views will be represented: NAIFA; and
- (3) NAIFA has not yet submitted a written comment letter on the proposed Retirement Security Rule or proposed amendments to the PTEs.

At the hearing, I will be accompanied by my client, Charles Ross, Sr. We believe it would be helpful to the Department's understanding of the potential impact of the proposed Retirement



Security Rule to have my client participate virtually with me during the allotted 10 minutes, should the Department extend an invitation to testify.

The information requested for Mr. Ross is:

- (1) Name: Charles Ross, Sr.
Title: Retired
Address: 4418 Blantyre Place, Valrico, FL 33596
Email: cross066@tampabay.rr.com
Phone: 813-789-3935
- (2) Organization whose views will be represented: NAIFA; and
- (3) Mr. Ross has not submitted a written comment letter on the proposed Retirement Security Rule or proposed amendments to the PTEs.

I look forward to testifying on this highly significant proposed rulemaking on behalf of NAIFA. Please do not hesitate to contact me or Jayne Fitzgerald (jfitzergald@naifa.org) should you have any questions about this request.

Sincerely,

A handwritten signature in black ink that reads "Bryon Holz". The signature is fluid and cursive, with the first name "Bryon" and the last name "Holz" clearly distinguishable.

Bryon Holz
President
National Association of Insurance and Financial Advisors